



LOYOLA COLLEGE (AUTONOMOUS) CHENNAI – 600 034

B.Com. DEGREE EXAMINATION – CORPORATE SECRETARYSHIP

FIFTH SEMESTER – NOVEMBER 2024

UBC 5602 – INVESTMENT MANAGEMENT



Date: 21-11-2024

Dept. No.

Max. : 100 Marks

Time: 09:00 am-12:00 pm

SECTION A - K1 (CO1)

Answer ALL the Questions

(10 x 1 = 10)

1. Answer the following.

- Write down the concept of Investment.
- What is Financial Risk?
- Point out the Various departments of SEBI.
- What is a Liquidation Value?
- What is a Portfolio Management?

2. Fill in the blanks.

- _____ is the purchase or sale of anything in the hope of profit from anticipated change in price.
- _____ arises out of the uncertainty surrounding a particular firm or industry.
- SEBI Chairman is the appointee of the _____.
- _____ are regarded as hybrid security which is used as a means of raising capital.
- _____ is defined as a person who manages a portfolio on behalf of the clients in return for a fee.

SECTION A - K2 (CO1)

Answer ALL the Questions

(10 x 1 = 10)

3. Match the following.

- Titular investments - Balanced portfolio
- Purchasing power risk - Provident fund
- Secondary Market Department - Ownership
- Equity Shares - Price monitoring and market surveillance
- Aggressive defensive policy - Demand pull inflation

4. True or False.

- Unit Linked Insurance Policies are a combination of mutual fund and life insurance.
- CRISIL was promoted by leading financial institutions such as HDFC, UTI and ICICI.
- SEBI has perpetual existence, succession and common seal.
- The book value depends on what people are willing to pay for a company's stock.

e)	Employ a minimum of two persons with experience to conduct portfolio management business.

SECTION B - K3 (CO2)

Answer any TWO of the following in 100 words each. (2 x 10 = 20)

5.	Identify the essential features of an Investment Programme.
6.	Enumerate the various causes of Risk.
7.	Describe the functions of SEBI.
8.	Explain about Modigliani & Miller Hypothesis.

SECTION C – K4 (CO3)

Answer any TWO of the following in 100 words each. (2 x 10 = 20)

9.	Distinguish between Investment and Speculation.
10.	Describe the various valuation concepts.
11.	Explain the equity shares and its features.
12.	Interpret the steps in Portfolio Management process.

SECTION D – K5 (CO4)

Answer any ONE of the following in 250 words (1 x 20 = 20)

13.	Interpret the various forms of Investment Alternatives.
14.	Elaborate the Classification of Risks.

SECTION E – K6 (CO5)

Answer any ONE of the following in 250 words (1 x 20 = 20)

15.	Enumerate the organization structure and management of SEBI.
16.	Explain the principles of portfolio management.
