



Date: 21-11-2024

Dept. No.

Max. : 100 Marks

Time: 09:00 am-12:00 pm

SECTION A - K1 (CO1)

	Answer ALL the Questions	(10 x 1 = 10)
1.	Answer the following.	
a)	Write down the concept of Investment.	
b)	What is Financial Risk?	
c)	Point out the Various departments of SEBI.	
d)	What is a Liquidation Value?	
e)	What is a Portfolio Management?	
2.	Fill in the blanks.	
a)	_____ is the purchase or sale of anything in the hope of profit from anticipated change in price.	
b)	_____ arises out of the uncertainty surrounding a particular firm or industry.	
c)	SEBI Chairman is the appointee of the _____.	
d)	_____ are regarded as hybrid security which is used as a means of raising capital.	
e)	_____ is defined as a person who manages a portfolio on behalf of the clients in return for a fee.	

SECTION A - K2 (CO1)

	Answer ALL the Questions	(10 x 1 = 10)
3.	Match the following.	
a)	Titular investments	- Balanced portfolio
b)	Purchasing power risk	- Provident fund
c)	Secondary Market Department	- Ownership
d)	Equity Shares	- Price monitoring and market surveillance
e)	Aggressive defensive policy	- Demand pull inflation
4.	True or False.	
a)	Unit Linked Insurance Policies are a combination of mutual fund and life insurance.	
b)	CRISIL was promoted by leading financial institutions such as HDFC, UTI and ICICI.	
c)	SEBI has perpetual existence, succession and common seal.	
d)	The book value depends on what people are willing to pay for a company's stock.	

e)	Employ a minimum of two persons with experience to conduct portfolio management business.
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SECTION B - K3 (CO2)

Answer any TWO of the following in 100 words each. (2 x 10 = 20)

5.	Identify the essential features of an Investment Programme.
6.	Enumerate the various causes of Risk.
7.	Describe the functions of SEBI.
8.	Explain about Modigliani & Miller Hypothesis.

SECTION C – K4 (CO3)

Answer any TWO of the following in 100 words each. (2 x 10 = 20)

9.	Distinguish between Investment and Speculation.
10.	Describe the various valuation concepts.
11.	Explain the equity shares and its features.
12.	Interpret the steps in Portfolio Management process.

SECTION D – K5 (CO4)

Answer any ONE of the following in 250 words (1 x 20 = 20)

13.	Interpret the various forms of Investment Alternatives.
14.	Elaborate the Classification of Risks.

SECTION E – K6 (CO5)

Answer any ONE of the following in 250 words (1 x 20 = 20)

15.	Enumerate the organization structure and management of SEBI.
16.	Explain the principles of portfolio management.
